

# THE SPECTRUM PROBLEM II: TOTALLY TRANSCENDENTAL AND INFINITE DEPTH<sup>†</sup>

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## ABSTRACT

We examine the main gap for the class of models of totally transcendental first-order theories, and compute the number of  $\aleph_0$ -saturated models of power  $\aleph_\alpha$  of a superstable  $T$  without the dop which is shallow but of depth  $\cong \omega$ .

### §1. Totally transcendental $T$

*Hypothesis.*  $T$  is totally transcendental.

We want to redo [2] for the class of models, instead of the  $\mathbf{F}_{\aleph_0}^a$ -saturated models, hence replacing  $\mathbf{F}_{\aleph_0}^a$  by  $\mathbf{F}_{\aleph_0}^t$  everywhere. The price is that we assume  $T$  is totally transcendental. We shall omit  $\mathbf{F}_{\aleph_0}^t$  in expressions like “ $\mathbf{F}_{\aleph_0}^t$ -atomic”.

1.1. LEMMA. *Suppose  $N \subseteq M \subseteq M'$ ,  $M \neq M'$ . Then for some  $a \in M' - M$ ,  $\text{tp}(a, M)$  does not fork over  $N$  or  $\text{tp}(a, M')$  is orthogonal to  $N$ . In fact the type is strongly regular, and if it does not fork over  $N$ ,  $\text{tp}(a^*N)$  too is strongly regular.*

PROOF. Among the formulas  $\phi(x, \bar{a})$  such that  $\bar{a} \in N$ ,  $\phi(M, \bar{a}) \neq \phi(M', \bar{a})$  choose one with minimal  $\alpha = R[\phi(x, \bar{a}), L, \aleph_0]$ ;  $\alpha$  is  $< \infty$  because  $T$  is totally transcendental,  $\phi(x, \bar{a})$  exists as  $x = x$  satisfies the requirement, and  $\alpha \geq 0$  as  $\phi(M, \bar{a}) \neq \phi(M', \bar{a})$  implies  $\exists x \phi(x, \bar{a})$ , and w.l.o.g.  $\text{Mlt}[\phi(x, \bar{a}), L, \aleph_0] = 1$ .

Among the formulas  $\psi(x, \bar{b})$  such that  $\bar{a} \subseteq \bar{b}$ ,  $\bar{b} \in M$ ,  $\psi(M, \bar{a}) \subseteq \phi(M, \bar{a})$ ,  $\psi(M, \bar{b}) \neq \psi(M', \bar{b})$  choose one with minimal  $\beta = R[\psi(x, \bar{b}), L, \aleph_0]$ .

As before  $0 \leq \beta < \infty$ ,  $\text{Mlt}[\psi(x, \bar{b}), L, \aleph_0] = 1$ ,  $\psi(x, \bar{b})$  exists since  $\phi(x, \bar{a})$  satisfies the requirements. Choose  $c \in M' - M$  such that  $\models \psi[c, \bar{b}]$  so by V 3.19 (and 3.18, Ex. 3.10)  $\text{tp}(c, M)$  is strongly regular and choose an indiscernible set

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$\{\bar{b}_n \wedge \langle c_n \rangle : n < \omega\}$  based on  $N$ ,  $\bar{b}_0 \wedge \langle c_0 \rangle = \bar{b} \wedge \langle c \rangle$ . Note that  $\text{tp}(c, M)$  is the stationarization of  $\text{tp}(c, \bar{b})$  over  $M$ , hence if  $\text{tp}(c, \bar{b})$  is orthogonal to  $N$  we get the desired conclusion. Also if  $\alpha = \beta$  we finish, so we can assume  $\beta < \alpha$ . So assume  $\text{tp}(c, \bar{b})$  is not orthogonal to  $N$ , then by V 3.4  $\text{tp}(c_n, \bar{b}_n)$  ( $n < \omega$ ) are pairwise not orthogonal. The types  $\text{tp}(c_n, \bar{b}_n)$  cannot be pairwise parallel, or then  $\text{tp}(c, M)$  does not fork over  $N$ , and we would finish the proof. So we assume  $\text{tp}(c_n, \bar{b}_n)$  ( $n < \omega$ ) are pairwise not parallel. Hence, by V 2.7 we can find  $n, c_{i,l}$  ( $l < 3, i \leq n$ ) such that

(i)  $c_{i,l}$  realizes the stationarization of  $\text{tp}(c_l, \bar{b}_l)$  over  $N \cup \bigcup_{m < 3} \bar{b}_m$  so  $\models \psi[c_{i,l}, \bar{b}_m]$  iff  $l = m$ ,

(ii)  $\{c_{i,l} : i \leq n, l < 3 \text{ but } (i,l) \neq (n,1), (n,2)\}$  is independent over  $N \cup \bigcup_{m < 3} \bar{b}_m$ ,

(iii)  $c_{n,0} = c$ ,

(iv)  $\models \theta[c_{n,0}, c_{n,1}, \dots, c_{i,l}, \dots, \bar{b}_l, \dots, \bar{d}]_{i < n, l=0,1}$

$\models \theta[c_{n,0}, c_{n,2}, \dots, c_{i,l}, \dots, \bar{b}_l, \dots, \bar{d}]_{i < n, l=0,2}$

where  $\bar{d} \in N$ , and  $\theta(x, c_{n,m}, \dots, c_{i,l}, \dots, \bar{b}_l, \dots, \bar{d})$  (for  $m = 1, 2$ ) forks over  $M$ ; and so w.l.o.g. for every  $c'_{n,m}, \dots$

$$R[\theta(x, c'_{n,m}, \dots), \theta, 2] < n^* = R[\text{tp}(c, M), \theta, 2] = R[\text{tp}(c, \bar{b}), \theta, 2].$$

Now remember that every type which does not fork over a model is finitely satisfiable in it (III 0.1). So we can define first  $b'_1, \bar{b}'_2 \in N$ , then  $c'_{i,l} \in M$  ( $i < n, l < 3$ ) (letting  $\bar{b}'_0 = \bar{b} = \bar{b}_0 \in M$ ) and at last define  $c'_{n,1}, c'_{n,2} \in M'$ , each time preserving all relevant information (define the exact demands looking at what follows for what is needed, and go in the reverse order of the definition).

Then by (iv),  $\text{tp}(c, M \cup \{c'_{n,l}\})$  forks over  $M$  (for  $l = 1, 2$ ), hence  $c'_{n,l} \in M' - M$ , and of course  $\models \psi[c'_{n,l}, \bar{b}'_l] \wedge \neg \psi[c'_{n,l}, \bar{b}'_{3-l}]$  for  $l = 1, 2$ .

Now the formula  $\psi(x, \bar{b}'_l) \wedge \neg \psi(x, \bar{b}'_{3-l})$  satisfies the requirements on  $\phi(x, \bar{a})$  and  $\psi(x, \bar{b}'_l) \vdash \phi(x, \bar{a})$ , hence by  $\alpha$ 's minimality,  $R[\psi(x, \bar{b}'_l) \wedge \neg \psi(x, \bar{b}'_{3-l}), L, \aleph_0]$  is  $\alpha$ . However, we have two such formulas ( $l = 1, l = 2$ ), both extend  $\psi(x, \bar{b})$  and are contradictory, But this contradicts  $\text{Mlt}[\phi(x, \bar{a}), L, \aleph_0] = 1$ .

1.2. CLAIM. (1) Suppose  $N \subseteq A$ ,  $p \in S^m(N)$  is orthogonal to  $\text{tp}_*(A, N)$  and  $M$  is prime over  $A$ ; then  $p$  is orthogonal to  $\text{tp}_*(M, N)$ .

(2) Suppose  $N \subseteq M$ ,  $\text{tp}(\bar{a}, M)$  is regular not orthogonal to  $N$ , and  $M'$  is prime over  $M \cup \bar{a}$ . Then there is  $b \in M$ ,  $\text{tp}(b, M)$  does not fork over  $N$ .

REMARK. Note in 1.2(1) that this is stronger than weak orthogonality. A similar claim holds for  $F'_\kappa$  (i.e.,  $N F'_\kappa$ -saturated,  $M F'_\kappa$ -primary).

PROOF. (1) It suffices to prove that if  $\text{tp}(\bar{a}, A)$  is isolated, then  $\text{tp}_*(A \cup \bar{a}, N)$ ,  $p$  are orthogonal. Let  $M$  be  $F'_\kappa$ -saturated,  $N \subseteq M$ ,  $\text{tp}_*(M, A)$  does not fork over

$N$ , hence  $(A, A \cup M)$  satisfies the Tarski-Vaught condition. Let  $p'$  be the stationarization of  $p$  over  $M$ . As  $p, \text{tp}_*(A, N)$  are orthogonal, clearly  $p', \text{tp}_*(A, M)$  are weakly orthogonal. Easily  $\text{tp}(\bar{a}, A) \vdash \text{tp}(\bar{a}, M \cup A)$ , hence by V 3.2  $\text{tp}_*(A \cup \bar{a}, M), p'$  are weakly orthogonal, hence orthogonal, but  $p, p'$  are parallel and so are  $\text{tp}_*(A \cup \bar{a}, M), \text{tp}_*(A \cup \bar{a}, N)$  so we finish.

(2) If the conclusion fails, then by 1.1 for some  $b \in M', q = \text{tp}(b, M)$  is orthogonal to  $N$ . Then  $q$  is orthogonal to  $\text{tp}(\bar{a}, M)$  hence by 1.2(1),  $\text{tp}_*(M', M), q$  are orthogonal; contradiction.

1.3. CLAIM. *Suppose  $N \subseteq N_0, N_1$ , and  $N_0, N_1 \subseteq M$  and  $\{N_0, N_1\}$  is independent over  $N$ . Then at least one of the following occurs :*

- (a)  $M$  is prime and minimal over  $N_0 \cup N_1$ ;
- (b) there is  $\bar{a} \in M, \bar{a} \notin N, \text{tp}(\bar{a}, N_0 \cup N_1)$  does not fork over  $N$ ;
- (c) there is  $l \in \{0, 1\}$  and  $\bar{a} \in M, \bar{a} \notin N, \text{tp}(\bar{a}, N_l)$  is orthogonal to  $N$  and  $\text{tp}(\bar{a}, N_0 \cup N_1)$  does not fork over  $N_l$ ;
- (d) there is  $\bar{a} \in M, N_0 \cup N_1 \subseteq M' \subseteq M, \bar{a} \notin M', M'$  prime over  $N_0 \cup N_1$ , and  $\text{tp}(\bar{a}, M')$  is orthogonal to  $N_0$  and to  $N_1$ .

PROOF. Choose  $M' \subseteq M$  prime over  $N_0 \cup N_1$ . If  $M' = M$  is also minimal then (a) holds. If  $M' = M$  but it is not minimal, there is  $M'', N_0 \cup N_1 \subseteq M'' \subsetneq M'$ , so w.l.o.g.  $M' \neq M$ . Apply 1.1 to  $N, M', M$  so there is  $\bar{a} \in M, \bar{a} \notin M', \text{tp}(\bar{a}, M')$  does not fork over  $N$  or  $\text{tp}(\bar{a}, M')$  is orthogonal to  $N$ . In the first case (b) holds. In the second case w.l.o.g.  $M$  is prime over  $M' \cup \bar{a}$ , so by 1.2(1) for every  $\bar{a}' \in M, \text{tp}(\bar{a}', M')$  is orthogonal to  $N$ . Apply 1.1 to  $N_0, M', M$ , so there is  $\bar{a}_0 \in M, \bar{a}_0 \notin M'$  such that  $\text{tp}(\bar{a}_0, M')$  does not fork over  $N_0$  or is orthogonal to  $N_0$ . In the first case (c) holds, in the second case we can w.l.o.g. assume that for every  $\bar{a}' \in M \text{tp}(\bar{a}', M')$  is orthogonal to  $N_0$  (by 1.2(1), as before). Now apply 1.1 to  $N_1, M', M$  and we either get that (c) holds or that w.l.o.g. for every  $\bar{a}' \in M, \text{tp}(\bar{a}', M')$  is orthogonal to  $N_1$ . In the last case any  $\bar{a} \in M - M'$  satisfies (d), so we finish.

1.4. CLAIM. *If  $T$  does not have the dop, then in 1.3, case (d) is impossible.*

PROOF. Choose  $F_{\aleph_0}^a$ -saturated  $N^*, N_0^*, N_1^*$  such that  $N \subseteq N^*, \text{tp}(N^*, M)$  does not fork over  $N$ , and  $N^* \cup N_l \subseteq N_l^*, \text{tp}(N_l^*, M \cup N_{1-l})$  does not fork over  $N_l \cup N_0^*$ .

By the uniqueness, the prime model  $M'$  is  $F_{\aleph_0}^i$ -constructible over  $N_0 \cup N_1$ .

Clearly  $(N_0 \cup N_1, N_0^* \cup N_1^*)$  satisfies the Tarski-Vaught condition. Now if  $\bar{b} \in M', \text{tp}(\bar{b}, N_0 \cup N_1)$  is isolated hence  $\text{tp}(\bar{b}, N_0 \cup N_1) \vdash \text{tp}(\bar{b}, N_0^* \cup N_1^*)$ , and so  $\text{tp}(\bar{b}, N_0^* \cup N_1^*)$  is isolated. We can easily conclude that  $M'$  is  $F_{\aleph_0}^i$ -constructible

over  $N_0^* \cup N_1^*$ . Hence there is  $M^*$ ,  $F_{\aleph_0}^a$ -prime over  $N_0^* \cup N_1^*$ ,  $M' \subseteq M^*$ . W.l.o.g.  $\text{tp}(\bar{a}, M^*)$  does not fork over  $M'$ , hence if we prove that  $\text{tp}(\bar{a}, M')$  is orthogonal to  $N_0^*$  and to  $N_1^*$  we get a contradiction by [2] §2. Let  $l \in \{0, 1\}$ ; clearly  $\text{tp}_*(N_l^*, M')$  does not fork over  $N_l$ , and so by [2] 1.1  $\text{tp}(\bar{a}, M')$  is orthogonal to  $N_l^*$ , so we finish.

1.5. CLAIM. (1) *Suppose  $N \subseteq M$ ,  $\bar{a} \notin M$ ,  $M'$  is prime over  $M \cup \bar{a}$ . Then there is  $b \in M' - M$ ,  $\text{tp}(b, M)$  does not fork over  $N$ ,  $\text{tp}(b, N)$  strongly regular and not orthogonal to  $\text{tp}(\bar{a}, M)$ , provided that*

- (a)  $\text{tp}(\bar{a}, M)$  is regular not orthogonal to  $N$ , or at least
- (b)  $\text{tp}(\bar{a}, M)$  is orthogonal to every  $p \in S^m(M')$  which is orthogonal to  $N$ .

(2) *Every type which is not orthogonal to  $N$  is not orthogonal to some strongly regular  $p \in S^m(N)$ .*

PROOF. (1) Easily (a) implies (b), so assume (b) holds. By 1.1 there is  $b \in M' - M$  as required except that maybe  $\text{tp}(b, M)$  is orthogonal to  $N$ . But then by (b)  $\text{tp}(b, M)$ ,  $\text{tp}(\bar{a}, M)$  are orthogonal, hence by 1.2,  $\text{tp}(b, M)$ ,  $\text{tp}_*(M', M)$  are orthogonal, hence weakly orthogonal; contradiction.

(2) Easy.

1.6. THEOREM. *The lemmas [2] 3.1, 3.2 hold for  $F_{\aleph_0}^a$ -primeness.*

PROOF. Straightforward: when in §3 we use the failure of the dop, we here use 1.3, 1.4, and where in 1.3 we used V 1.12 here we use 1.5.

As there are at least as many models as there are  $F_{\aleph_0}^a$ -saturated models, obviously (by [2] 2.5, [2] 5.1)

1.7. THEOREM. *If  $T$  has the dop or is deep, then  $I(\lambda, T) = 2^\lambda$  for  $\lambda \geq \lambda(T) + \aleph_1$ .*

Now we shall deal with [2] §4.

1.8. DEFINITION.  $K_\lambda^x = \{(N, N', \bar{a}) : N \subseteq N' \text{ are } F_\lambda^x\text{-saturated models, } \bar{a} \in N, \bar{a} \notin N', N' \text{ is } F_\lambda^x\text{-atomic over } N \cup \bar{a}\}$ ,  $K_\lambda^{x*} = \{(N, N', \bar{a}) \in K_\lambda^x : \text{tp}(\bar{a}, N) \text{ is regular}\}$ .

1.9. LEMMA. (1) *If  $(N, N', \bar{a}) \in K$ ,  $K \in \{K_{\aleph_0}^t, K_\lambda^t, K_\lambda^{t*}\}$  then  $\text{Dp}((N, N', \bar{a}), K) \leq \text{Dp}(\text{tp}(\bar{a}, N), K_{\aleph_0}^a)$ .*

(2) *If in (1),  $N$  is  $F_{\aleph_0}^a$ -saturated, then  $\text{Dp}((N, N', \bar{a}), K) = \text{Dp}(\text{tp}(\bar{a}, N), K_{\aleph_0}^{t*})$ .*

REMARK. Look at [2] Definition 4.1, 4.3, Lemma 4.4.

PROOF. (1) For simplicity we concentrate on  $K = K_{\aleph_0}^t$ , we prove by induction on  $\alpha$  that if  $(N_x, N'_x, \bar{a}) \in K_{\aleph_0}^x$ ,  $N_i \subseteq N_a$ ,  $N'_i \subseteq N'_a$ ,  $\{N_a, N'_a\}$  is independent over  $N_i$ , then

$$\text{Dp}((N_i, N'_i, \bar{a}), K_{\aleph_0}^i) \cong \alpha \text{ implies } \text{Dp}((N_\alpha, N'_\alpha, \bar{a}), K_{\aleph_0}^\alpha) \cong \alpha.$$

For  $\alpha$  zero, limit or successor of limit there is no problem. So let  $\alpha = \beta + 1$ ,  $\beta$  non-limit.

So there is  $\bar{b} \notin N'_i$ ,  $\text{tp}(\bar{b}, N'_i)$  orthogonal to  $N_i$ , and  $N''_i$  prime over  $N'_i \cup \bar{b}$ ,  $\text{Dp}((N'_i, N''_i, \bar{b}), K_{\aleph_0}^i) \cong \beta$ .

W.l.o.g.  $\text{tp}(\bar{b}, N'_\alpha)$  does not fork over  $N'_i$ . Then  $N''_i$  is  $F_{\aleph_0}^i$ -constructible over  $N'_i \cup \bar{b}$ , hence there is  $N''_\alpha$   $F_{\aleph_0}^\alpha$ -prime over  $N'_\alpha \cup \bar{a}$ ,  $N''_i \subseteq N''_\alpha$ .

Now use the induction hypothesis.

(2) For each tree  $I$  (of sequences of ordinals) satisfying  $\langle i \rangle \in I$  iff  $i = 0$ ,  $\text{Dp}(\langle \cdot \rangle, I) = \text{Dp}(\text{tp}(\bar{a}, N), K_{\aleph_0}^\alpha)$ , we can find a  $F_{\aleph_0}^\alpha$ -representation  $\langle N^i_\eta, \bar{a}^i_\eta : \eta \in I \rangle$ , such that  $N^i_\eta \supseteq N$ ,  $\bar{a}^i_\eta \supseteq \bar{a}$ , and let  $M_I$  be  $F_{\aleph_0}^\alpha$ -prime over  $\bigcup_{\eta \in I} N^i_\eta$ . By the proof of [2] 3.1, there is no  $\bar{b} \in M_I - N$ ,  $\{\bar{b}, \bar{a}\}$  independent over  $N$ . Hence by 1.6,  $M_I$  has an  $F_{\aleph_0}^i$ -representation  $\langle M^i_\eta, \bar{a}^i_\eta : \eta \in J_I \rangle$ ,  $M^i_\eta \supseteq N$ ,  $\bar{a}^i_\eta \supseteq \bar{a}$ .  $\langle i \rangle \in J_I \Leftrightarrow i = 0$ . Now counting the number of  $M_I$  for  $|I| = \aleph_\alpha$ ,  $\alpha$  large enough,  $\alpha < \aleph_\alpha$ ,  $|\alpha| = |\alpha|^{2^{|I|}}$ , we get the missing inequality.

1.10. THEOREM. *If  $T$  is shallow without the dop then  $I(\aleph_\alpha, T) \leq \beth_\gamma(|\alpha|^{|T|})$  where  $\gamma = \text{Dp}(T, K_{\aleph_0}^\alpha)$ .*

PROOF. By 1.6, just like [2] 4.7.

## §2. Infinite depth

*Hypothesis.*  $T$  is superstable shallow and without the dop.

Here we get lower bounds for  $I(\aleph_\alpha, T)$ ,  $I_{\aleph_\beta}^\alpha(\aleph_\alpha, T)$  for the case mentioned in the title.

At first glance it may look surprising that as long as  $\beta < \alpha$  its value has no influence. The point is that, if  $\text{ly}\langle N_\eta, a_\eta : \eta \in I \rangle$  is an  $F_{\aleph_0}^\alpha$ -representation of an  $F_{\aleph_\beta}^\alpha$ -saturated model, we know that each  $\eta \in I^-$  has  $\geq \aleph_\beta$  immediate successors, but there is no restriction on how many immediate successors of  $\eta \in (I^-)^-$  have  $\aleph_{\beta+1}$  immediate successors.

Note that for countable  $T$ , the situation is considerably simplified. More generally if  $\aleph_\alpha$  is big enough (if  $|T| < \beth_\omega$ -always) we get the exact number.

2.1. THEOREM. *Suppose  $\aleph_\alpha > \lambda(T) + \aleph_1$ ,  $\alpha \geq \omega$ ,  $\text{Dp}(T) \geq \omega$ ,  $\beta < \alpha$  then  $I_{\aleph_\beta}^\alpha(\aleph_\alpha, T) \geq \beth_{\text{Dp}(T)}(|\alpha| + \aleph_0)$ .*

2.1A. REMARK. (1) We should have written  $\min\{\beth_{\text{Dp}(T)}(\alpha), 2^{\aleph_\alpha}\}$ , but we shall ignore this for notational simplicity.

(2) If  $|T| < \aleph_n$  for some  $n$ , or even  $|T| < \aleph_\alpha$ ,  $\alpha + \text{Dp}(T) = \text{Dp}(T)$ , the equality holds.

(3) The theorem, of course, holds for  $I'_{\aleph_\beta}$  when  $T$  is totally transcendental, and similarly for 2.1 A(2).

PROOF. We shall define for every  $W = (N, N', \bar{a}) \in K'_{\aleph_0}$  such that  $N$  is  $F_{\aleph_0}^a$ -prime over  $\phi$  a set  $H(W)$  and a partition of it  $\langle H_\xi(W) : \xi < \zeta_w \rangle$ , and an  $F_{\aleph_0}^a$ -representation  $\langle N_\eta^{w,Y}, a_\eta^{w,Y} : \eta \in I^{w,Y} \rangle$  for any  $Y \in H(W)$ . The definition is by induction on the depth  $\zeta = \text{Dp}(N, N', \bar{a})$ . For notational simplicity assume  $\text{Dp}(T) < \aleph_\omega$  and  $\text{Dp}(T) \leq \aleph_\alpha + 1$ .

$\zeta = 0$ . Let  $H(W) = \{\aleph_\beta, \aleph_\alpha\}$ ,  $I^{w,\aleph_\alpha} = \{ \langle \ \rangle, \langle i \rangle : i < \aleph_\alpha \}$ ,  $I^{w,\aleph_\beta} = \{ \langle \ \rangle, \langle i \rangle : i < \aleph_\beta \}$ ,  $N_{\langle i \rangle}^{w,Y} = N$ .

$\{ \bar{a}_\eta^{w,Y} : \eta \in I^{w,Y} \}$  is an independent set over  $N$  of sequences realizing  $\text{tp}(\bar{a}, N)$ , and  $N_\eta^{w,Y}$  is  $F_{\aleph_0}^a$ -prime over  $N \cup \bar{a}_\eta^{w,Y}$ , for  $\eta \in I^{w,Y} - \{ \langle \ \rangle \}$ . Let  $\zeta_w = 1$ .

$\zeta = 1$ . Let  $V = (N', N'', \bar{a}') \in K'$  be such that  $N' <_N N''$ ,  $\text{Dp}(N', N'', \bar{a}') = 0$ . Let  $H(W) = \{ \langle \chi, I^{v,\aleph_\alpha} \rangle : 0 \leq \chi \leq \aleph_\alpha \}$  (so  $\chi$  may be finite) and if  $Y = \langle \chi, I^{v,\aleph_\alpha} \rangle$  then

$$I^{w,Y} = \{ \langle \ \rangle \} \cup \{ \langle \gamma \rangle^\wedge \eta : \eta \in I^{v,\aleph_\alpha} \text{ and } \gamma < \chi \text{ or } \eta \in I^{v,\aleph_\beta} \text{ and } \chi \leq \gamma < \aleph_\alpha \}$$

and define the representation accordingly, and let  $\zeta_w = 1$ .

$\zeta = \xi + 1$ ,  $\xi$  successor. Let  $V = (N', N'', \bar{a}'') \in K'$ ,  $N' <_N N''$ ,  $\text{Dp}(N', N'', \bar{a}'') = \xi$ . We let  $H(W) \subseteq \{ Y : Y \subseteq H_0(V), |Y| \leq \aleph_\alpha \}$  be such that:

(a)  $|H(W)| = \text{Min}(|\mathcal{P}(H_0(V))|, 2^{\aleph_\alpha})$ ,

(b) all  $Z \in H(W)$  have the same power  $\leq \aleph_\alpha$ ,

(c) for every  $Z \in H(W)$ , any two members have an infinite symmetric difference.

Let for  $Z \in H(W)$ ,  $Z = \{ Y_i : i < i_0 \}$ ,

$$I^{z,W} = \{ \langle \ \rangle \} \cup \{ \langle \omega_\alpha i + j \rangle^\wedge \eta : i < i_0, j < \aleph_\alpha \text{ and } \eta \in I^{v,Y_i} \}$$

and the representation is defined accordingly.

What about the partition? As we shall see  $H(W)$  is infinite, so let  $\zeta_w = |H(W)| + 1$ ,  $H_\xi(W)$  have power  $|H(w)|$  for every  $\xi < \zeta_w$ .

$\zeta = \delta + 1$ ,  $\delta$  limit. There is a set  $S \subseteq \{ i + 1 : i < \delta \}$ , unbounded, and for every  $\alpha \in S$ ,  $V(\alpha) = (N', N''_\gamma, \bar{a}_\gamma) \in K'_{\aleph_0}$ ,  $N' <_N N''_\gamma$ ,  $\text{Dp}(N', N''_\gamma, \bar{a}_\gamma) = \gamma$ . As  $\text{Dp}(T)$  was assumed to be smaller than  $\aleph_\alpha + 1$ , and by the computation below,  $\text{Dp}(W) \in S \Rightarrow \text{Dp}(T) < |H(W)|$ . Let